

OVIVO



End-of-Pipe Semiconductor Wastewater Reclaim

OUTLINE

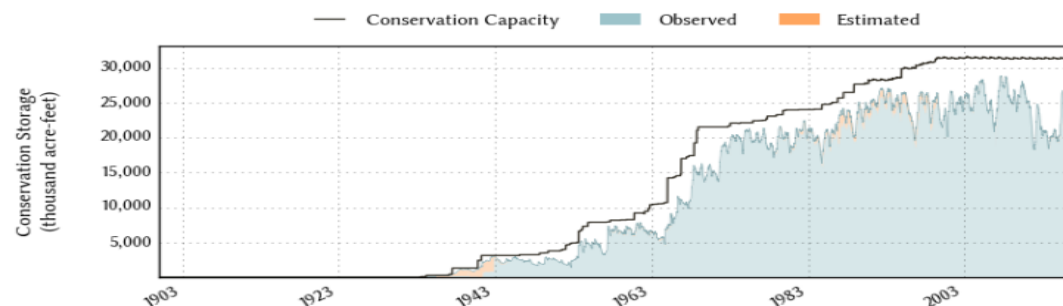
- A CALL TO ACTION
- APPROACH
- RESULTS
 - PILOT CONSTRUCTION
 - TEST PLAN
 - PILOT PERFORMANCE
- CONCLUSIONS/TAKEAWAYS

A CALL TO ACTION

• North Texas Water

****Surface water is the primary source for Texas (80+%)**

****Reservoir Capacity in recent drought dropped from 80 to 60% in only 3 years**



• The Response

- Develop water balances for all sites
- Incentivize and prioritize water reduction inside existing cost reduction funding, tracking, best practice structure
- Further alignment with site water champions as well as project execution and savings validation
- After 5 years of consumption reduction and reuse enhancement:
 - Water consumption per chip produced reduced by 37%
 - All “low hanging fruit” achieved and easy to recover reuse streams captured
- New Picture:
 - Makeup (80% for DIW/UPW , 18% for Cooling Towers/Scrubbers , 2% All other uses)
 - Outflows (80% through IWW, 15% through evaporation, 5% through sanitary)
 - ****What if we see end-of-pipe IWW as a resource? What if the entirety of it can be a source of new/makeup water? What is the cost? What are the risks?**

The Risks

- Regional water constraints are a rising risk to our ability to manufacture wafers (population, infrastructure degradation and capacity, climate change)
- Water costs are continuing to increase (up 8-10% YOY)
- Need to look at the value of water as well as the cost of water
- What do we do if the North Texas situation worsens?

APPROACH

- Options
 - Wait until situation worsens and technologies are even more mainstream
 - Move product to areas without geographical risk
 - Develop a system with trial and error (bottoms-up)
 - **Develop a system using Systems Engineering Process (tops-down)**
- Objectives
 1. Understand and quantify the variability in water streams (**Can our wastewater be reclaimed?**)
 2. Pilot technologies and systems capable of altering water quality to acceptable limits (**What would it take?**)
 3. Quantify and develop risk mitigation strategies for waste water reuse in semi manufacturing processes (**Can we do it reliably?**)
 4. Reduce city water consumption and optimize total lifecycle cost for all water use systems (**Can we do it cost effectively?**)
- Why will this work?
 - **This is a formalized process focused on stakeholder requirements definition and life cycle considerations**
 - Upfront risk management
 - Requirements definition (traceable and verifiable)
 - Adaptable and Iterative
 - Make use if Integrated Product Teams and lessons learned
 - Find errors before the system is built (optimize cost and schedule)
 - **End-of-pipe reclaim for makeup to DIW Plant (using vendors with expertise)**

RESULTS

PILOT CONSTRUCTION

PILOT CONSTRUCTION



PILOT CONSTRUCTION



PILOT SYSTEM DELIVERED TO RFAB

COMPRISES (QTY 2) 40-FT SHIPPING CONTAINERS



OVIVO ON-SITE LABORATORY



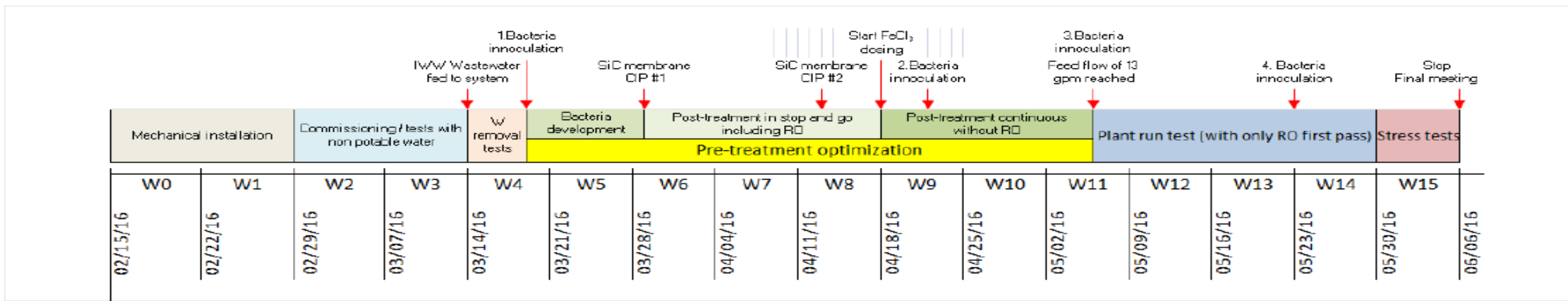
RESULTS

TEST PLAN

RESULTS - TEST PLAN

- Example Test Items:**

- Spike each unit processes to test deviations (outside normal variation) – Stress Test
- Conduct bacteria dip slide samples and microscope inspection for bio population health
- Perform post-pilot consumable destructive testing?
- Quantify performance and maintenance required for probes and analyzers
- Quantify benefit of upfront segregation (ion exchange breakthrough curves)
- Track man-hours for pilot and lab operation by unit process/equipment
- Test the pilot configuration for 1st pass RO Reject Recycle (back to front of pilot) during the trial period
- Conduct a final inspection for all tanks, pumps, piping, etc. prior to full decommissioning. Checking for advanced fouling, consumables breakthrough, etc.



- Pilot Test Duration (90 days) with end-of-pipe wastewater from a semiconductor fab
- The fab effluent contained varying concentrations of chemicals applied during manufacturing process:
 - Hydrogen peroxide (H_2O_2)
 - Tungsten (W)
 - Ammonia (NH_4)
 - Fluorides (F)
 - Organic compounds including:
 - Isopropanol (IPA)
 - Tetramethylammonium hydroxide (TMAH)
 - Acetone
 - High salinity and hardness
 - Fine and very fine particles (e.g. SiO_2)

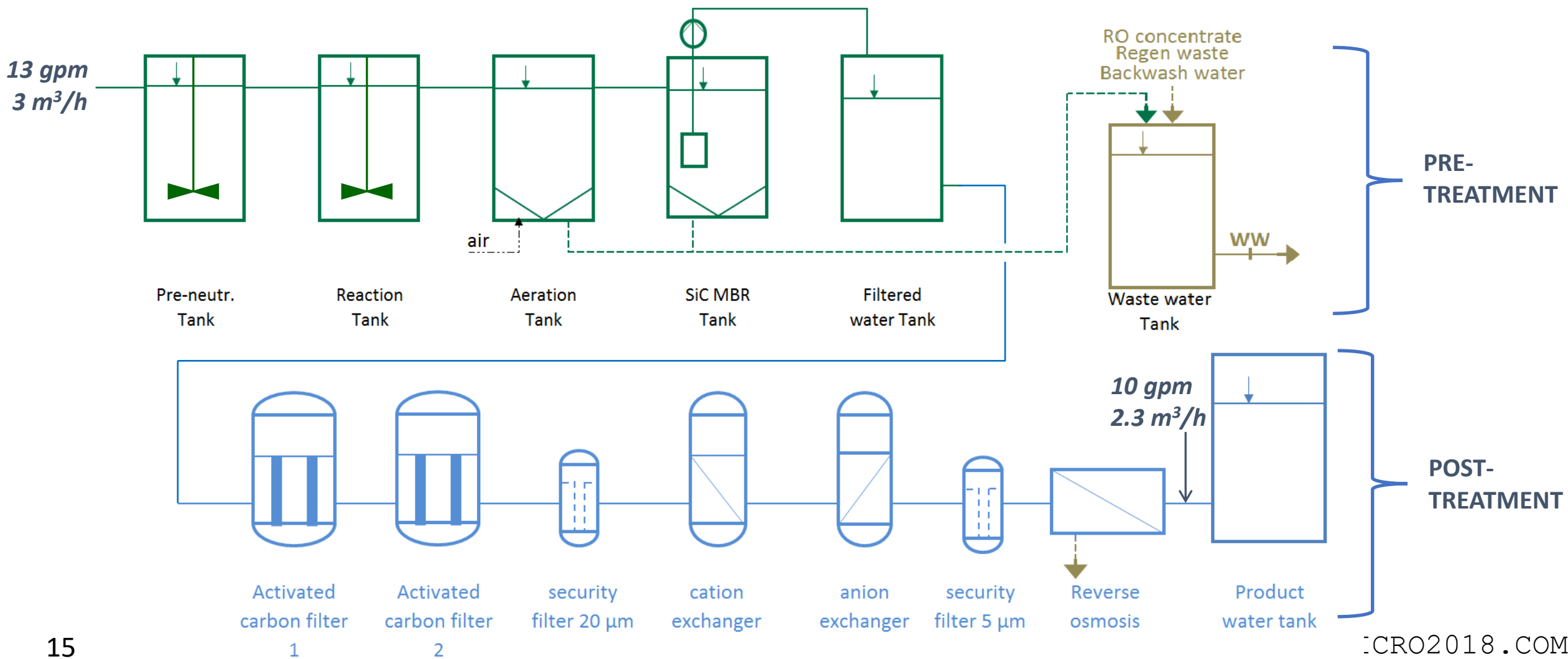
RESULTS

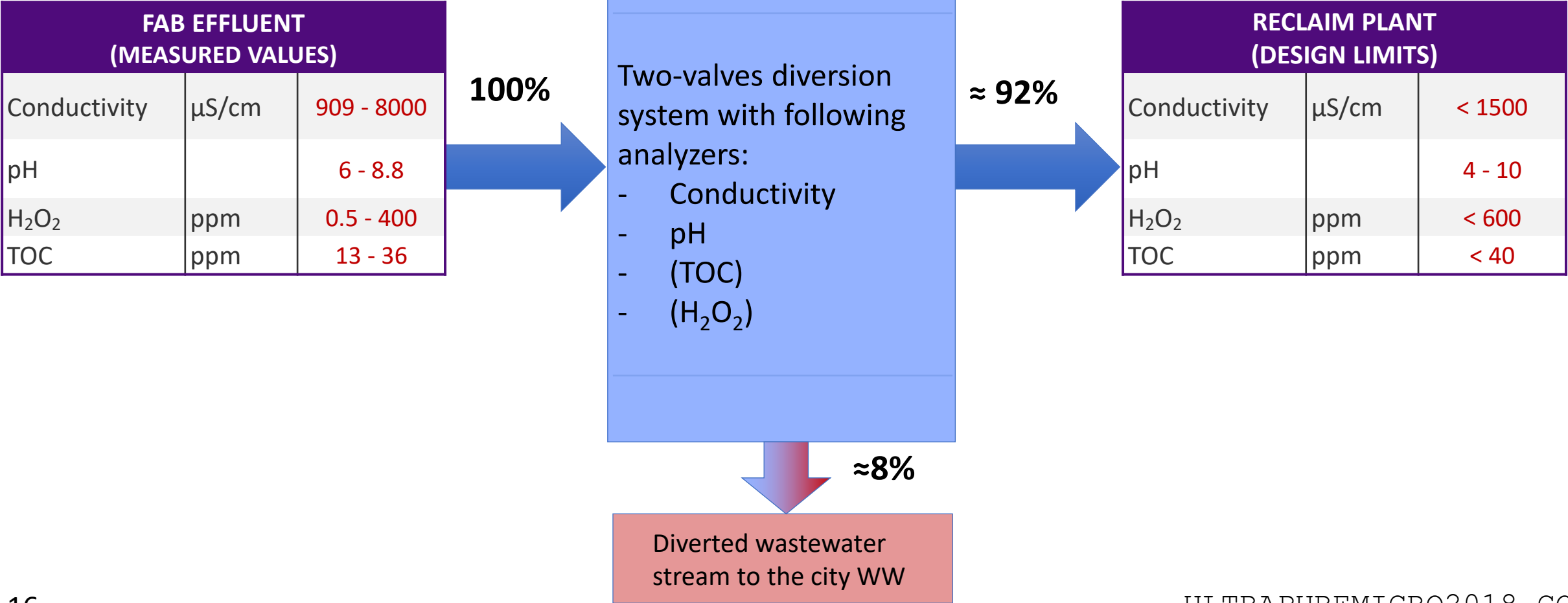
PILOT PERFORMANCE

► FAB EFFLUENT QUALITY – 3 MONTHS DATA

| March 4th to June 3rd 2016 | | | | | | | | | | | | | | | | | |
|----------------------------|-----------|------------|--------|--------|--------|----------------|-----------|------------|-------|-------|--------|-----------|------|------------|--------|--------|--------|
| Parameter | unit | N° Samples | min | avg | max | Parameter | unit | N° Samples | min | avg | max | Parameter | unit | N° Samples | min | avg | max |
| Temperature | °F | 101 | 72.3 | 79.2 | 84.2 | TOC | ppm | 104 | 13.2 | 20.7 | 35.9 | Metals | | | | | |
| Temperature (°C) | °C | 95 | 22.4 | 26.2 | 29 | IPA | ppm | 102 | 0.10 | 5.0 | 30.12 | Hg | ppm | 102 | < 0.01 | < 0.01 | < 0.01 |
| TSS | ppm | 35 | 0.2 | 1.8 | 6.4 | Acetone | ppm | 99 | 0.05 | 0.17 | 2.1 | W | ppm | 102 | 2.1 | 4.8 | 11 |
| Turbidity | NFU | 77 | 2.4 | 9.6 | 17.3 | TMAH | ppm | - | 5 | 10 | 15 | Al | ppm | 102 | < 0.01 | 0.02 | 0.2 |
| Micro-Bio | CFU/mL | 12 | 0 | 7.6 | 40 | Oxygen demand | | | | | | Cr | ppm | 102 | < 0.01 | < 0.01 | < 0.01 |
| ORP | mV | 103 | 117 | 214 | 360 | COD | mg/l | 16 | 31 | 60.8 | 110 | Cu | ppm | 102 | < 0.01 | 0.01 | 0.1 |
| H2O2 | ppm | 76 | < 0.5 | 110 | 400 | BOD | mg/l | 0 | 0 | 0 | 0 | Fe | ppm | 102 | < 0.01 | 0.02 | 0.54 |
| Conductivity | µS/cm | 105 | 909 | 1259 | 8000 | | | | | | | Se | ppm | 96 | < 0.01 | 0.01 | 0.06 |
| pH | | 103 | 6 | 7.9 | 8.8 | | | | | | | Zn | ppm | 102 | < 0.01 | 0.05 | 4.6 |
| | | | | | | | | | | | | Si | ppm | 102 | 2.2 | 10.6 | 15.7 |
| Cations | | | | | | Anions | | | | | | As | ppm | 97 | < 0.01 | < 0.01 | < 0.01 |
| TH | ppm CaCO3 | 102 | 17.46 | 25.5 | 43.49 | Alkalinity | ppm CaCO3 | 103 | 14.55 | 47.1 | 112.5 | B | ppm | 101 | < 0.01 | 0.03 | 0.38 |
| Ca | ppm | 102 | 5.9 | 8.7 | 15.1 | F | ppm | 103 | 18 | 41.8 | 102 | Ni | ppm | 102 | < 0.01 | < 0.01 | < 0.01 |
| Mg | ppm | 102 | 0.6 | 0.9 | 1.6 | Cl | ppm | 103 | 28 | 90.4 | 360 | Ag | ppm | 102 | < 0.01 | < 0.01 | < 0.01 |
| Sr | ppm | 102 | 0.06 | 0.79 | 1.60 | NO3-N | ppm | 103 | 0.2 | 1.2 | 19 | Ti | ppm | 102 | < 0.01 | 0.01 | 0.02 |
| Ba | ppm | 102 | < 0.01 | < 0.01 | < 0.01 | NO3 | ppm | 104 | 0.0 | 5.5 | 84.1 | Mn | ppm | 97 | < 0.01 | 0.0 | 0.02 |
| Na | ppm | 97 | 4.6 | 64.2 | 1400 | SO4 | ppm | 103 | 11 | 205.2 | > 3000 | Co | ppm | 97 | < 0.01 | < 0.01 | < 0.01 |
| K | ppm | 102 | 0.8 | 1.8 | 11.5 | PO4-P | ppm | 103 | 1 | 3.8 | 19.6 | | | | | | |
| NH4-N | ppm | 104 | 61 | 107.6 | 169 | PO4 | ppm | 104 | 0.0 | 11.5 | 60.1 | | | | | | |
| NH4 | ppm | 105 | 78.4 | 136.3 | 217.3 | Total anions | meq/l | 101 | 1.0 | 10.3 | 68.9 | | | | | | |
| Total cations | meq/l | 100 | 7.6 | 10.9 | 68.9 | | | | | | | | | | | | |
| 14 | | | | | | Dissolved SiO2 | ppm | 102 | 4.8 | 20.6 | 27.4 | | | | | | |

► RECLAIM SYSTEM OVERVIEW





WCF > 97%

WCF > 85%

OVERALL WCF > 80%

| PLANT FEED WATER (AVERAGE VALUES) | | |
|--------------------------------------|-------|-------|
| Conductivity | μS/cm | 1145 |
| pH | | 7.9 |
| H ₂ O ₂ | ppm | 106 |
| TOC | ppm | 20.5 |
| IPA | ppm | 4.8 |
| Acetone | ppm | 0.17 |
| TMAH | ppm | 10 |
| F | ppm | 41.4 |
| NH ₄ -N | ppm | 106.9 |
| W | ppm | 4.7 |
| Dissolved Silica | ppm | 20.3 |
| TSS | ppm | 1.8 |
| Turbidity | NFU | 9.7 |

| PRE-TREATMENT |
|---|
| Neutralization/Reaction tanks: pH adjustment and flocculant dosing (FeCl ₃) |
| Aeration tank: filled with bio-media providing maximum surface area for bacteria to colonize |
| MBR filtration tank: SiC (0.1μm) membranes, physical barrier to suspended solids (solids in the fab WW, bacteria, flocs) |

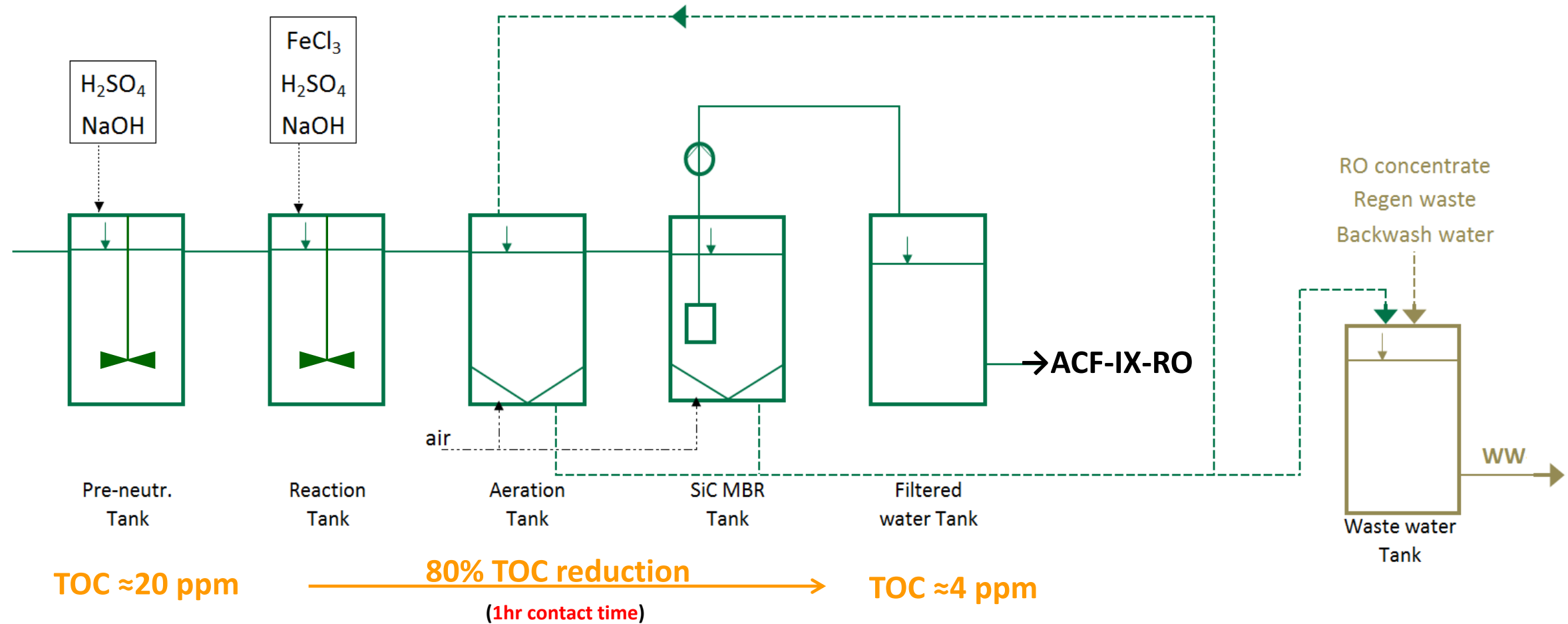
UF concentrate discharged to city WW (or filterpress)

| POST-TREATMENT |
|---|
| Activated carbon filter: Populated with specific bacteria for further TOC reduction |
| Cation/anion exchanger: SAC, WBA/SBA: removal of salinity and other; “pH bacteria barrier”. |
| Reverse osmosis: Final reduction of TOC, salinity, other. Safety role in case of lower upstream steps performance. |

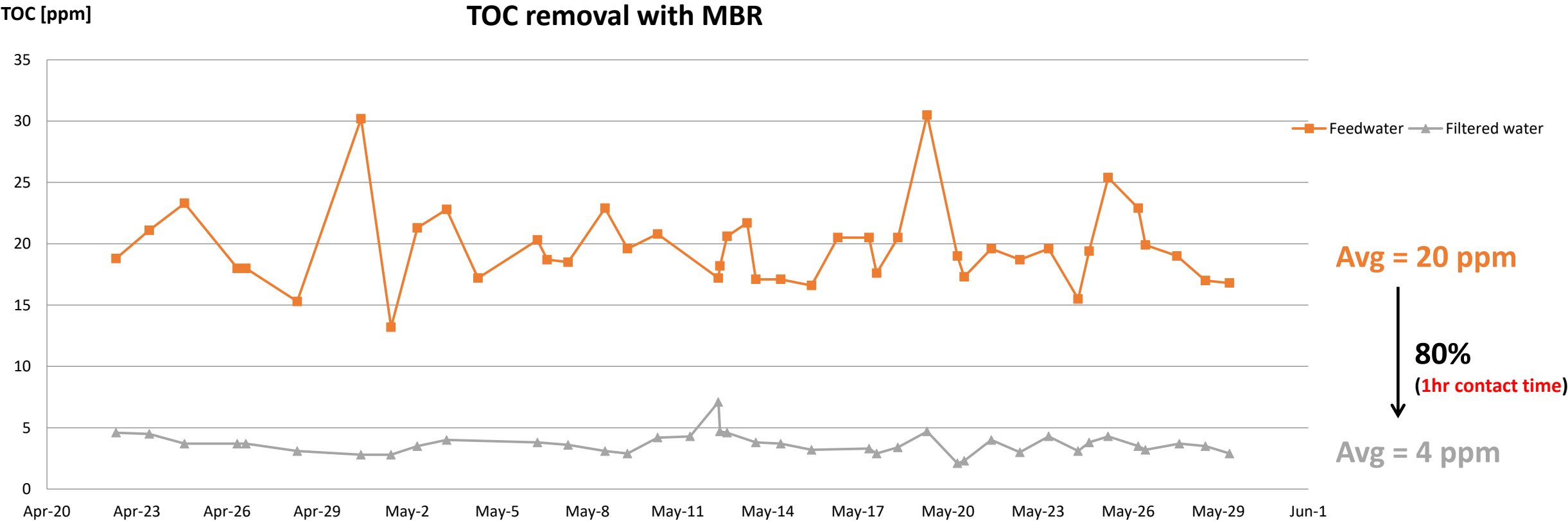
ACF BW waste, IX regen. waste, RO reject: discharged to city WW or recirculated

| RECLAIM SYSTEM PRODUCT (GUARANTEED VALUES) | | |
|---|-------|--------|
| Conductivity | μS/cm | <10 |
| pH | | ≈7 |
| H ₂ O ₂ | ppm | < 1 |
| TOC | ppm | <0.5 |
| IPA | ppm | <0.05 |
| Acetone | ppm | <0.05 |
| TMAH | ppm | <0.1 |
| F | ppm | <0.1 |
| NH ₄ -N | ppm | <0.1 |
| W | ppm | < 0.05 |
| Dissolved Silica | ppm | < 0.5 |
| TSS | ppm | < 1 |
| Turbidity | NFU | - |

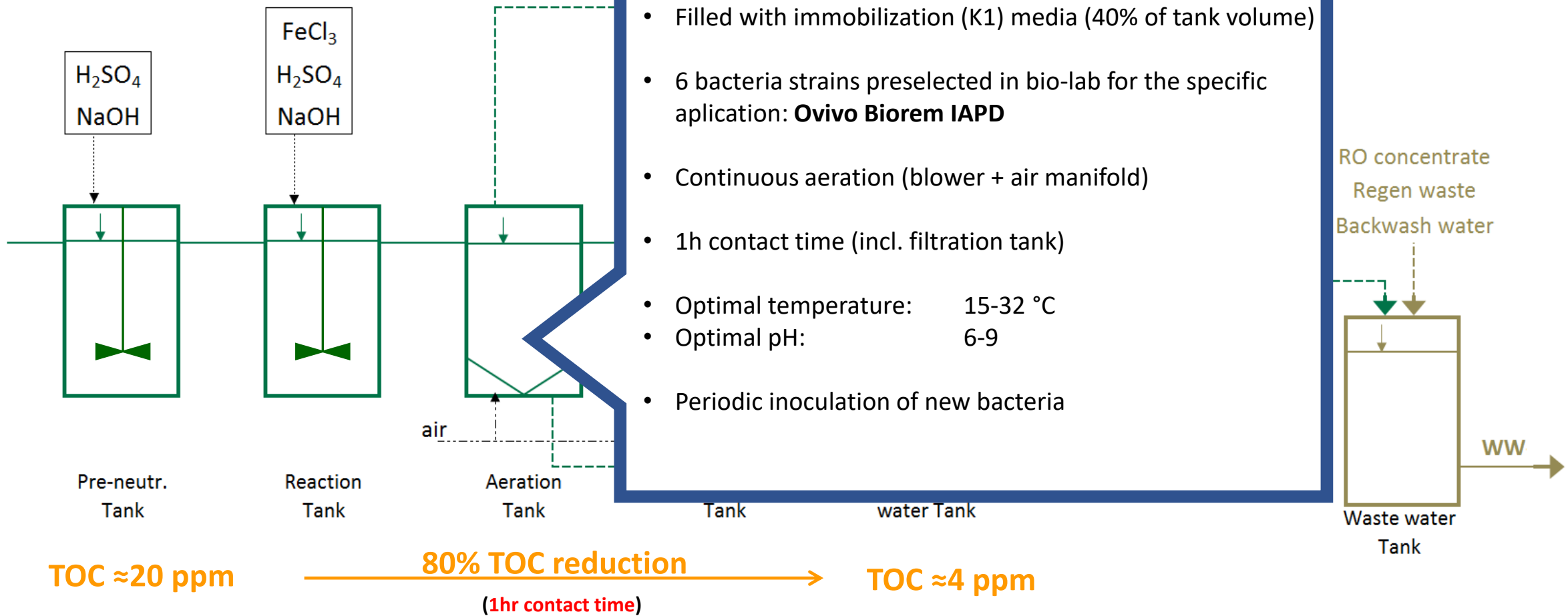
► PRE-TREATMENT



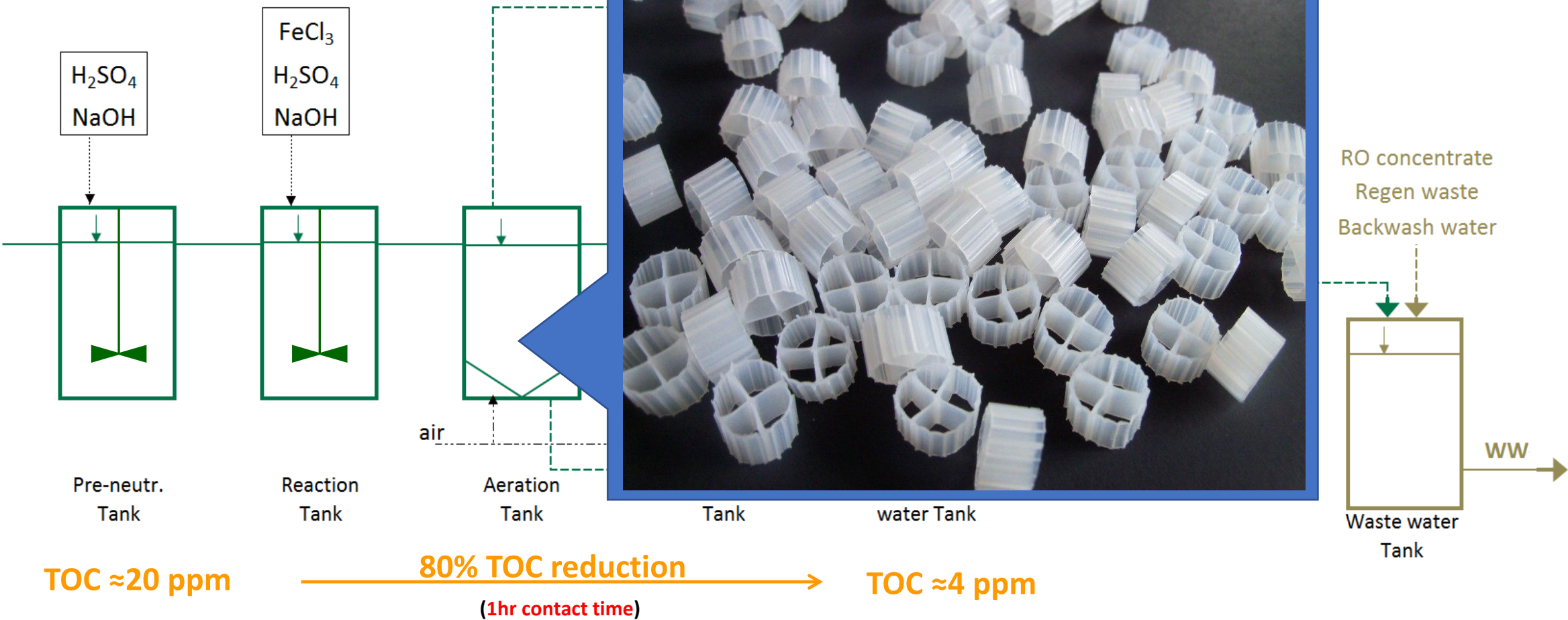
► PRE-TREATMENT



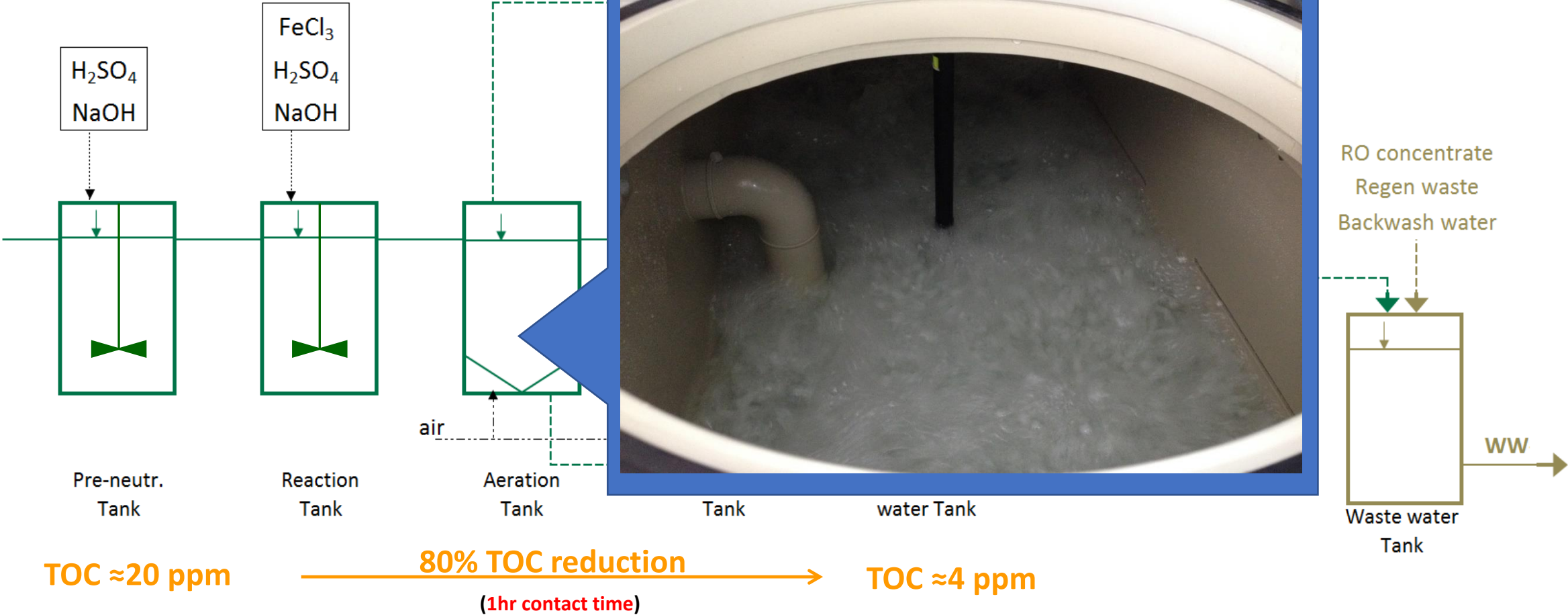
► MBR – AERATION TANK



► **MBR – AERATION TANK**



► MBR – AERATION TANK



► MBR – OVIVO BIOREM IAPD

- Specific mixture of six bacteria strains preselected in bio-lab in Switzerland
- The bacteria mixture is tailored to the specific wastewater to:
 - maximize digestion of unwanted organics present in the wastewater
 - minimize digestion time (later corresponding to the contact time / MBR tanks sizes)
 - minimize bacteria mortality
- The bio-lab with a long time experience in bacteria selection has a library of bacteria strains with pre-tested performance
- The final choice of bacteria includes a test with sample of actual wastewater to be treated

► MBR – OVIVO BIOREM IAPD PERFORMACE: TOC SPECIATION BY LC-OCD

| | | DOC | | | NOM | | | | | | | | | | SOM | | | | | | | | | | | DN | CDON | NO3- | NH ₄ ⁺ |
|-----------------|----------|-------|-------|--------------|-------------------------|-------------------------|-----------------|-----------|--------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|-------|-------|----------|------|------|------------------------------|
| | | HOC* | CDOC | BIO-polymers | DON (Norg) in BIOpol.** | % Proteins in BIOpol.** | Building Blocks | LMW Acids | LMW Neutrals | Urea | X1 | X2 | X3 | X4 | X5 | X6 | X7 | X8 | X9 | X10 | X11 | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Project: | ovivo_43 | ppb-C | ppb-C | ppb-C | ppb-C | ppb-N | % BIOpol. | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-C | ppb-N | ppb-N | ppb-N | ppb-N | | | |
| Feed water | | % DOC | % DOC | % DOC | % DOC | — | — | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | % DOC | — | — | — | — | | | |
| | | 25572 | < 5 | 25572 | 11 | < 5 | — | 246 | 353 | 1493 | < 5 | 3314 | 10 | < 5 | 3028 | < 5 | 3858 | < 5 | < 5 | 481 | 12776 | < 5 | >> 58901 | 2437 | 692 | >> 11289 | | | |
| MBR product | | 100% | — | 100,0% | 0,0% | — | — | 1,0% | 1,4% | 5,8% | — | 13,0% | 0,0% | — | 11,8% | — | 15,1% | — | — | 1,9% | 50,0% | — | — | — | — | | | | |
| | | 3662 | < 2 | 3662 | 197 | < 2 | — | 317 | 301 | 471 | 11 | 1896 | < 2 | 13 | < 2 | < 2 | < 2 | 89 | < 2 | 351 | 17 | < 2 | >> 19763 | 127 | 655 | >> 4619 | | | |
| Bio-ACF product | | 100% | — | 100,0% | 5,4% | — | — | 8,6% | 8,2% | 12,9% | 0,3% | 51,8% | — | 0,4% | — | — | — | 2,4% | — | — | 0,5% | — | — | — | — | | | | |
| | | 418 | < 1 | 418 | 199 | < 1 | — | 12 | 24 | 119 | 15 | 13 | 7 | 1 | < 1 | < 1 | < 1 | 13 | 6 | < 1 | < 1 | 8 | >> 7796 | 35 | 392 | >> 1627 | | | |
| | Sample D | 100% | — | 100,0% | 47,6% | — | — | 2,8% | 5,7% | 28,3% | 3,6% | 3,2% | 1,8% | 0,4% | — | — | — | 3,1% | 1,4% | — | — | 2,0% | — | — | — | | | | |
| | | 585 | 107 | 479 | 102 | < 1 | — | 15 | 35 | 190 | 23 | 34 | 13 | < 1 | 31 | 7 | < 1 | < 1 | 14 | < 1 | 5 | 11 | 325 | 130 | 167 | 29 | | | |
| | Sample E | 100% | 18,2% | 81,8% | 17,4% | — | — | 2,6% | 6,0% | 32,4% | 3,9% | 5,8% | 2,2% | — | 5,3% | 1,2% | — | — | 2,3% | — | 0,9% | 1,9% | — | — | — | | | | |
| | | 203 | 55 | 149 | 4 | < 1 | — | < 1 | 7 | 39 | 20 | 9 | 1 | < 1 | 38 | 4 | < 1 | 1 | 10 | < 1 | 7 | 8 | 55 | 42 | < 1 | 13 | | | |
| | | 100% | 27,0% | 73,0% | 2,0% | — | — | — | 3,3% | 19,2% | 10,0% | 4,3% | 0,5% | — | 18,9% | 2,1% | — | 0,6% | 4,7% | — | 3,3% | 4,1% | — | — | — | | | | |
| RO product | | 156 | 60 | 95 | 3 | < 1 | — | < 1 | 10 | 30 | 16 | 10 | 2 | < 1 | < 1 | 6 | < 1 | 4 | 1 | < 1 | 4 | 11 | 51 | 34 | < 1 | 17 | | | |
| | | 100% | 38,7% | 61,3% | 1,8% | — | — | — | 6,5% | 19,6% | 10,0% | 6,2% | 1,1% | — | — | 3,5% | — | 2,4% | 0,9% | — | 2,3% | 7,1% | — | — | — | | | | |

TOC reduction rates:

Library matches:

IPA

TMAH

TOC reduction rates:

- MBR: 85%
- Bio-ACF 98.4%
- whole reclaim plant incl. RO 99.4%

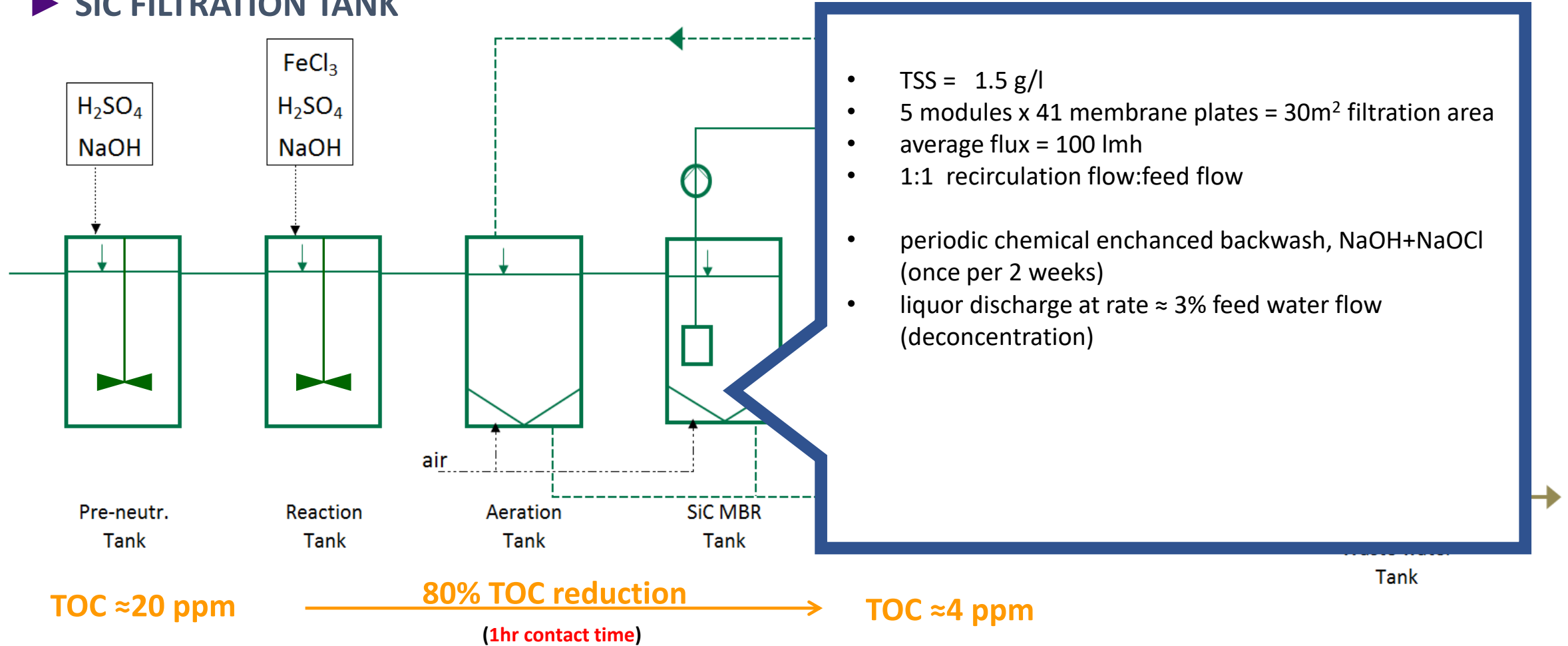
Library matches:

- X1: MeOH / Acetamide / EtOH
X2: Glucose / Fructose
X3: Glycerine / DMSO
X4: 1,2 Propandiol / 1,3 Propandiol
X5: Acetone
X6: IPA
X7: γ-Butyrolactone / t-BuOH
X8: MEK
X9: Benzotriazole
X10: TMA / TMAH
X11: Pyridine

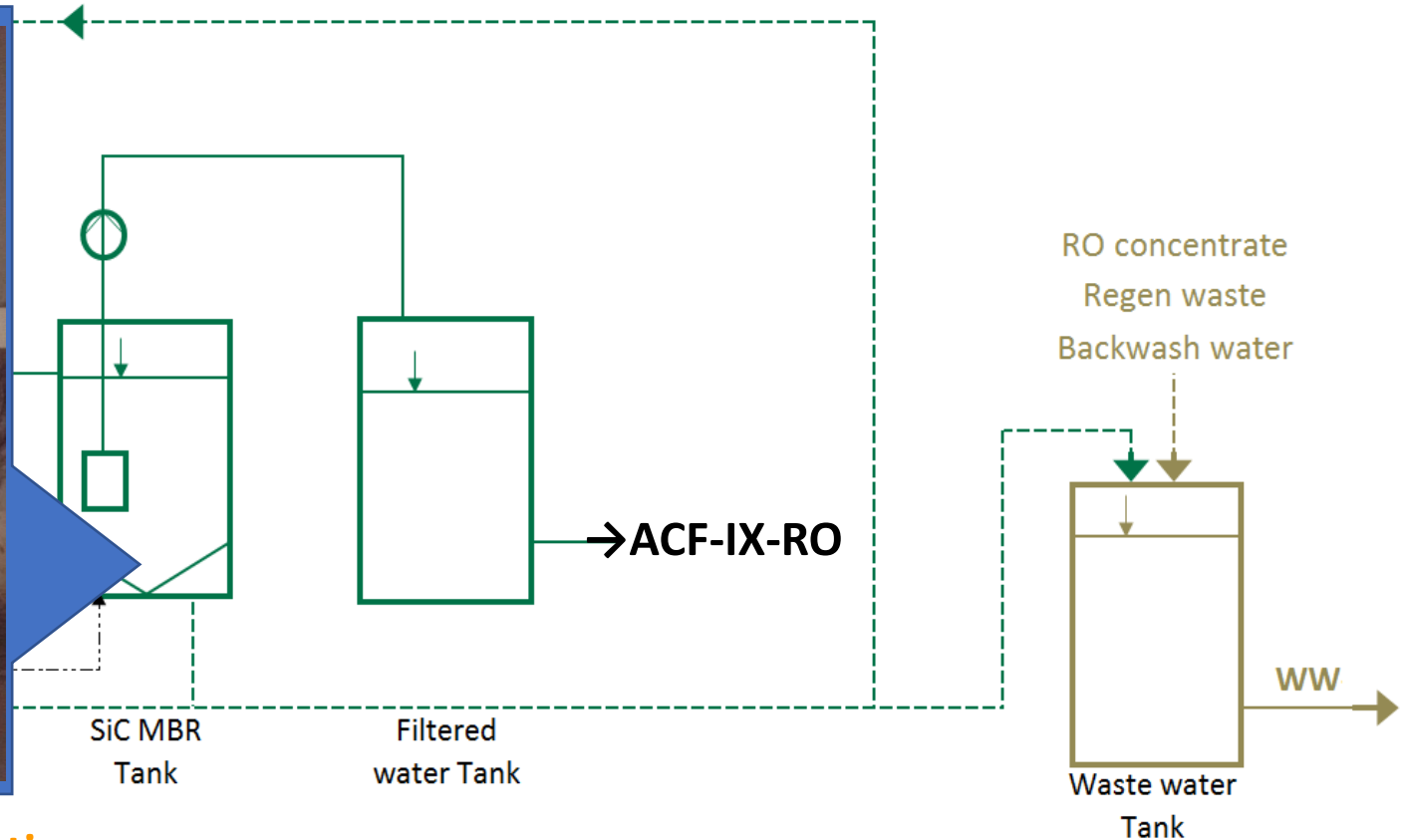
Evaluation of H₂O₂ toxicity

| Strain (N°) | 0 ppm | 500 ppm | 750 ppm | 1'000 ppm | 2'000 ppm | 10'000 ppm |
|-------------|---------------|----------------|---------------|------------------|------------------|------------------|
| 1 | 0-10 % killed | 10-20 % killed | 20-50% killed | > 90-99 % killed | > 90-99 % killed | > 90-99 % killed |
| 2 | 0-10 % killed | 20-50% killed | 50-90 % | > 90-99 % killed | > 90-99 % killed | > 90-99 % killed |
| 3 | 0-10 % killed | 0-10 % killed | 0-10 % killed | 0-10 % killed | 0-10 % killed | 10-20 % killed |
| 4 | 0-10 % killed | 0-10 % killed | 0-10 % killed | 0-10 % killed | 20-50% killed | > 90-99 % killed |
| 5 | 0-10 % killed | 20-50% killed | 50-90 % | > 90-99 % killed | > 90-99 % killed | > 90-99 % killed |
| 6 | 0-10 % killed | 0-10 % killed | 0-10 % killed | 0-10 % killed | 0-10 % killed | > 90-99 % killed |

► SiC FILTRATION TANK



► SiC FILTRATION TANK



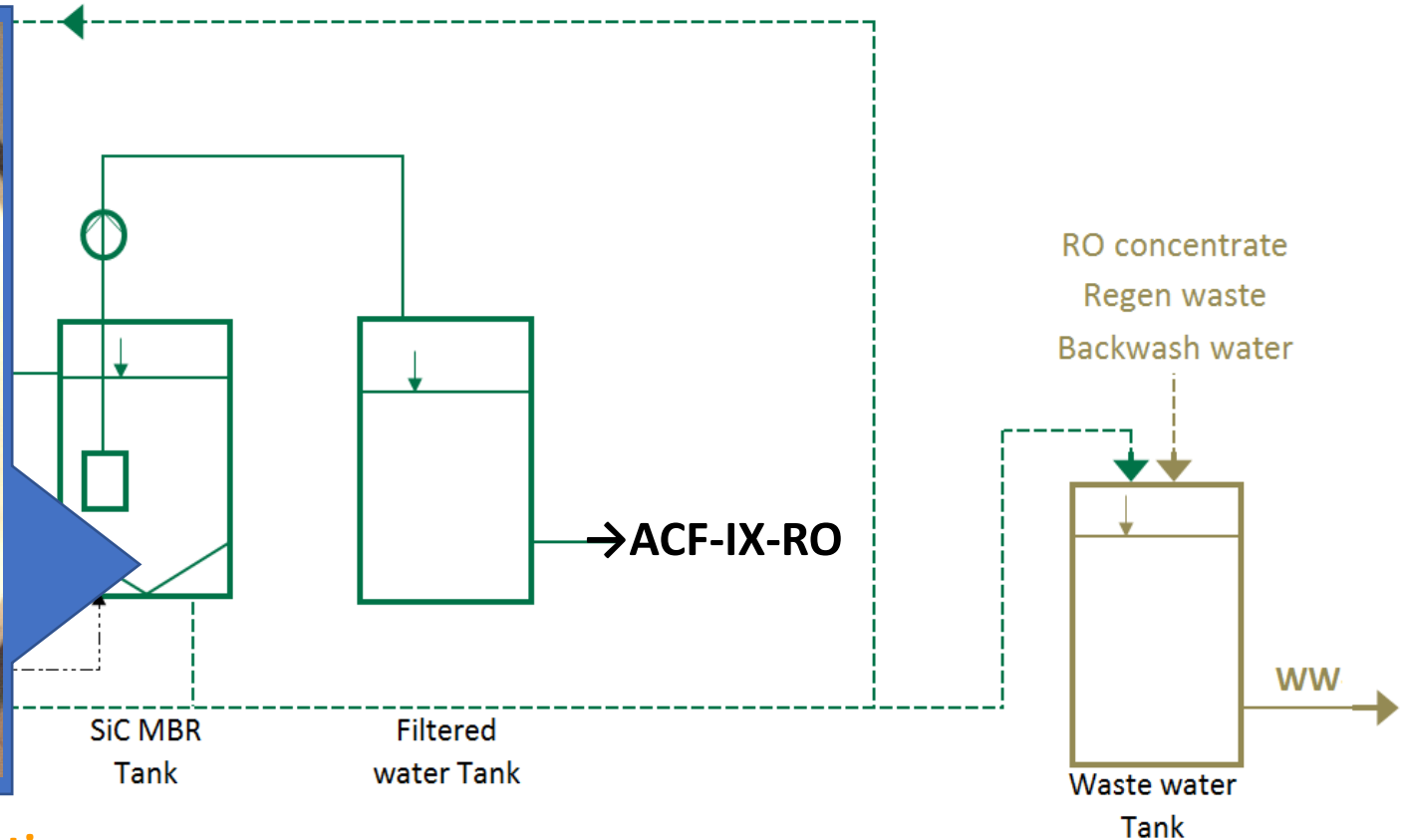
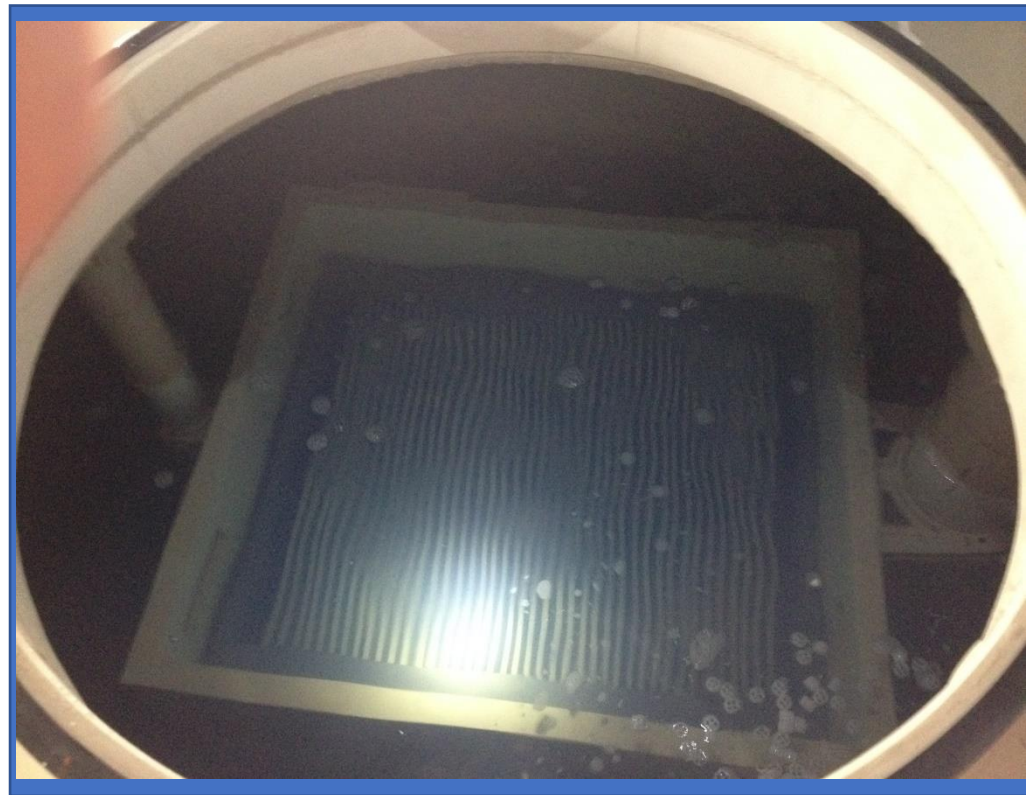
TOC ≈ 20 ppm

80% TOC reduction

(1hr contact time)

TOC ≈ 4 ppm

► SiC FILTRATION TANK



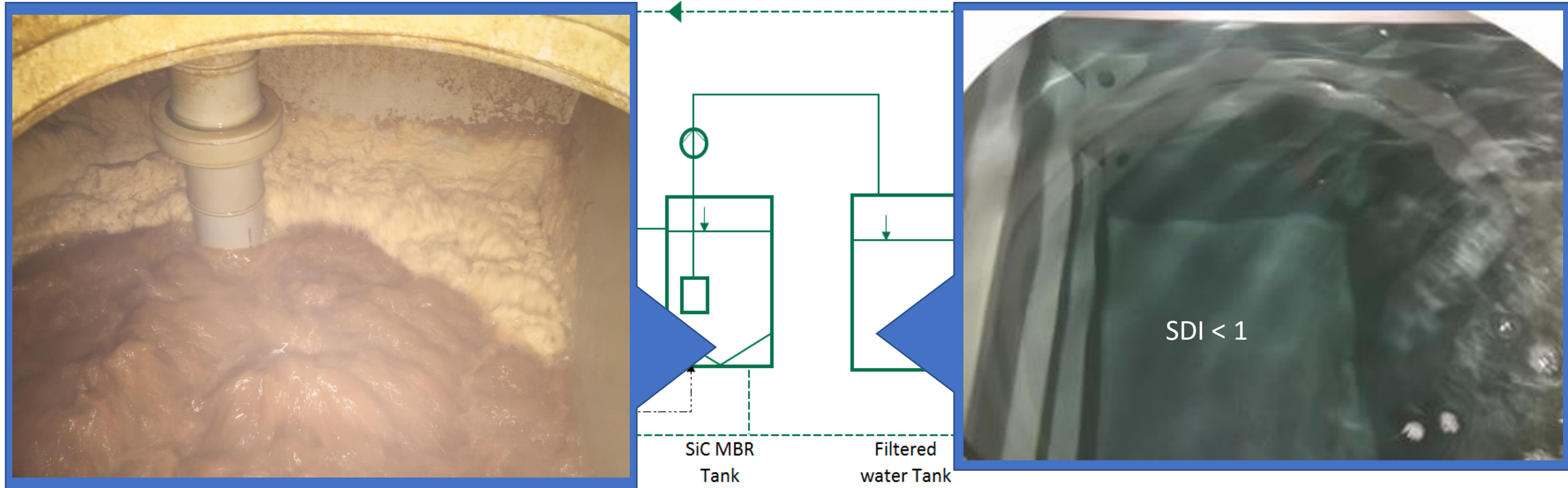
TOC \approx 20 ppm

80% TOC reduction

(1hr contact time)

TOC ≈ 4 ppm

► SiC FILTRATION TANK AND FILTERED WATER TANK



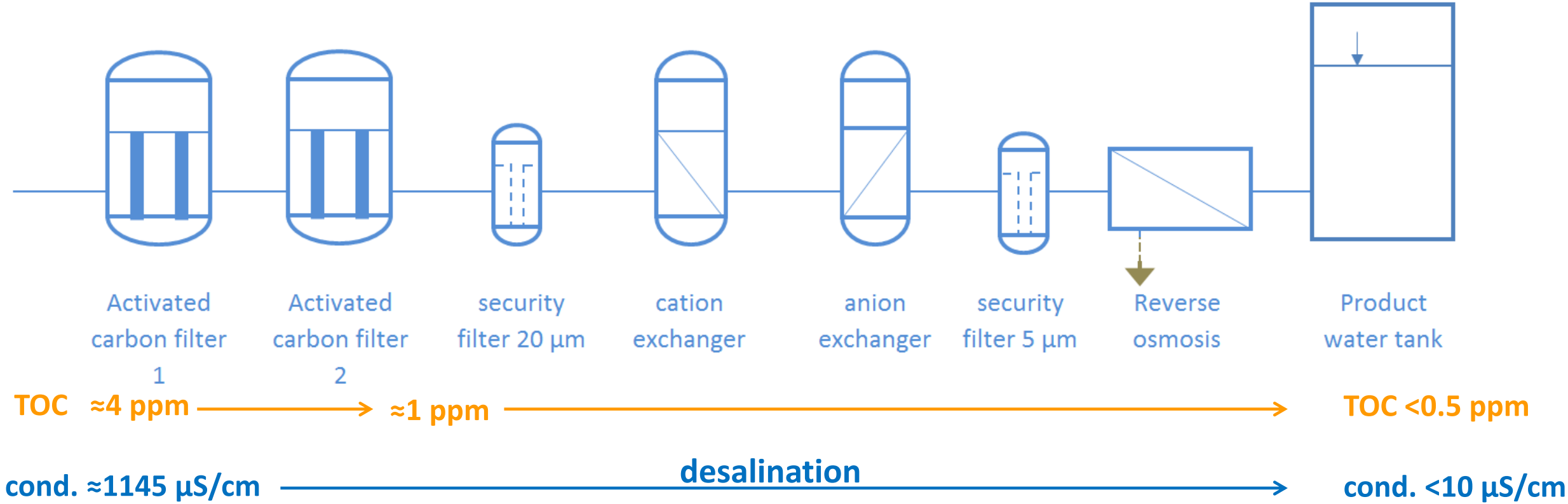
TOC \approx 20 ppm

80% TOC reduction

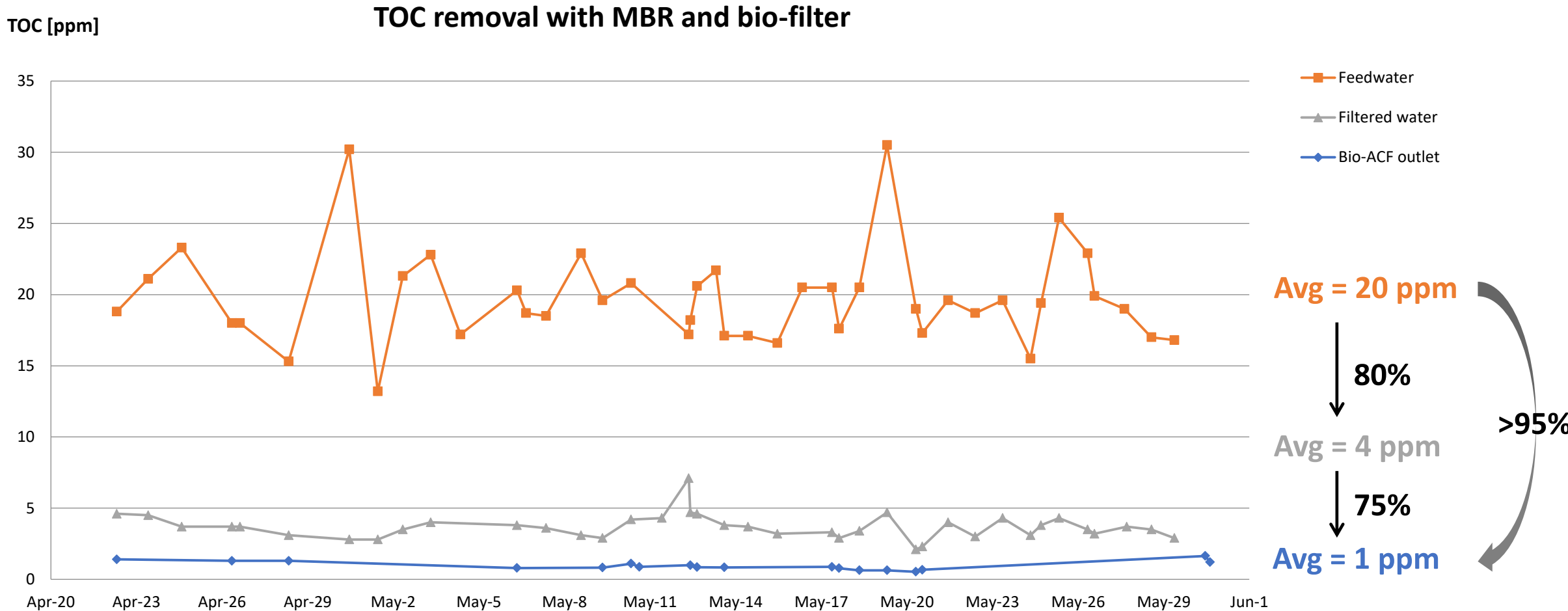
(1hr contact time)

TOC ≈ 4 ppm

► POST-TREATMENT

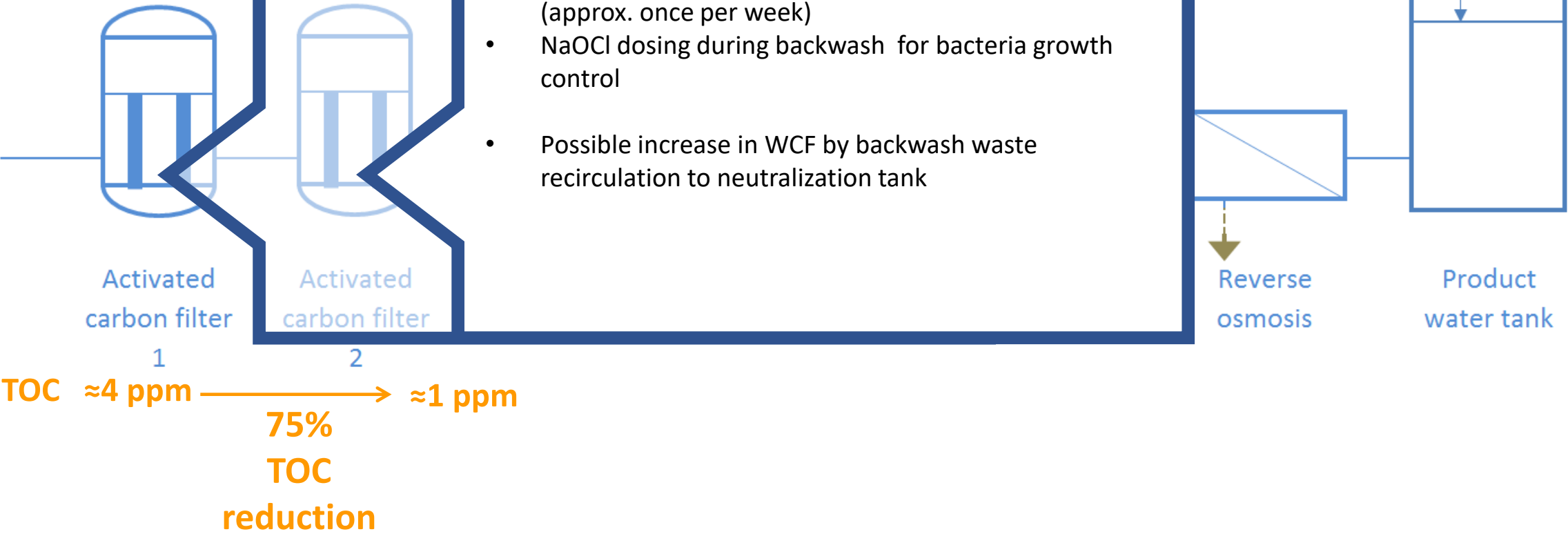


► POST-TREATMENT – BIO-ACF

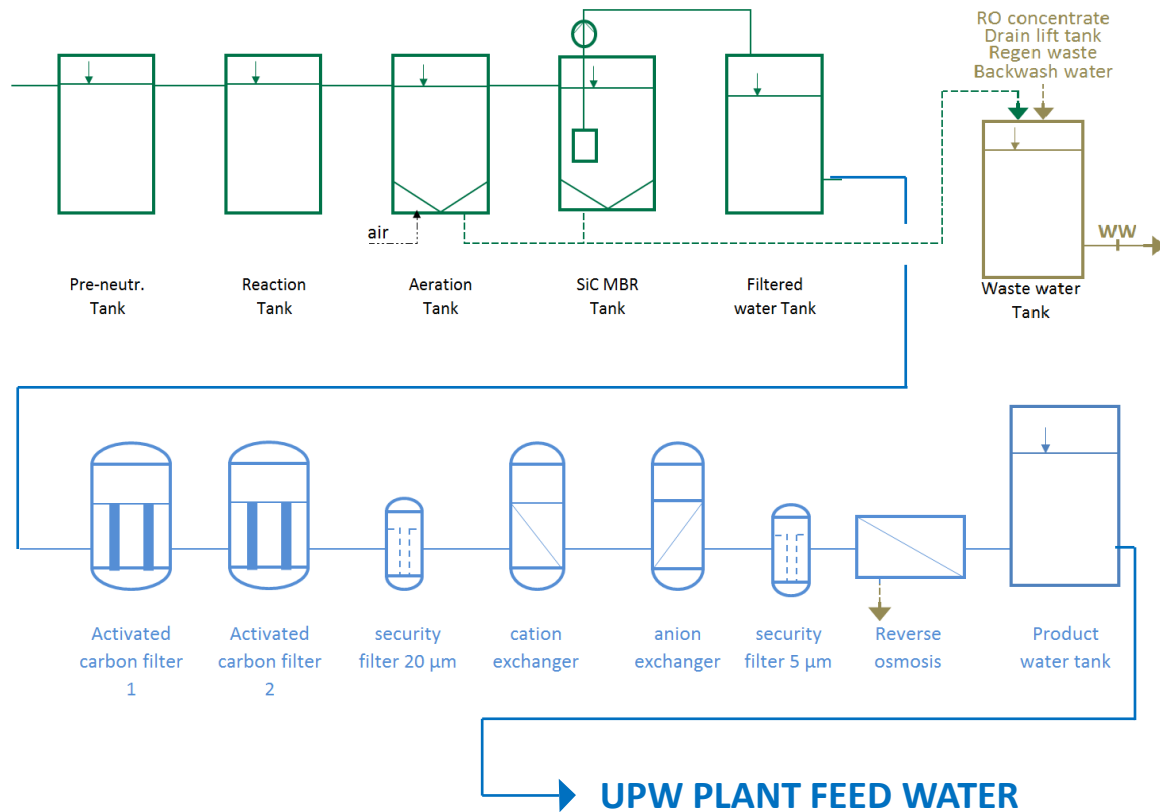


► **POST-TREATMENT**

- Bio-activated carbon filters
- Populated with the same bacteria strains as in the MBR
- 15 min contact time
- Periodic backwash for solids removal and bed aeration (approx. once per week)
- NaOCl dosing during backwash for bacteria growth control
- Possible increase in WCF by backwash waste recirculation to neutralization tank



► SUMMARY



MBR:

- Removal of critical organics (low molecular weight polar molecules, e.g. IPA, acetone) and TMAH
- TOC reduction at MBR > 80%
- Pre-treatment WCF > 97%
- Reduced footprint thanks to short contact times achieved and high SiC membranes flux (less membrane area required)

OVERALL PLANT:

- Fab waste treated to quality superior to city water
- Overall plant TOC reduction > 97%
- Overall plant WCF > 80%
- Cost effective and ecologically friendly solution

► OPERATING COST

| OPERATING COST [USD / volume product] | | |
|--|-----|--------|
| Electric energy | | 11.1 % |
| Regeneration agents / chemical dosing | | |
| H2SO4 90% | | 24.9 % |
| NaOH 50% | | 29.9 % |
| FeCl3 40% (feed) | | 8.0 % |
| NaOCl 14% (MBR + FWT + ACF) | | 0.1 % |
| Ovivo Biorem IAPD | | 9.6 % |
| Consumables [Lifetime in years] | | |
| IX resins | [6] | 3.9 % |
| Activated carbon | [3] | 2.4 % |
| UF Ovivo SiC membranes | [6] | 8.3 % |
| RO-Modules (+ sec. filters) | [6] | 1.7 % |
| TOTAL | | 100 % |

**The IWW Reuse system operating cost being based mostly on chemicals is much more variable in relation to fab loadings than city water cost is

- DIW Plants

- Increased RO efficiency and membrane life
- Increased time between mixed bed regenerations and resin life
- Improved carbon life and potential to extend backwashes
- Improved delivered water quality and plant stability

- Scrubbers

- Eliminates scale-forming potential
- Increased consumables life (packing and mist eliminators)

- Cooling Towers

- Reduces scale forming potential and allows towers to run at higher cycles consuming less water
- Prolongs the life of cooling tower structure and fill

**The IWW Reuse System itself can also be optimized and lessons learned from future Pilots fed back to the final design and operation

PRIMARY CONCLUSIONS/TAKEAWAYS

Lessons Learned

- By having MBR & IEX before RO's, the RO's see no biofouling throughout full lifetime of operation
- Testing at sufficient equipment scale has direct application to predicting expected maintenance performance
- End of pilot stress testing can better define diversion criteria and quantify process sensitivity to interruption or change in wastewater quality
- Design pilot not to match exact the expectation of a full-scale solution, design for flexibility, potential, and testability (Double Pass RO that can also be operated as a Single Pass)

What is novel about this pilot program?

- Validated with real-time cost tracking that reclaimed water could be produced cheaper than city water (payback validation to aid in funding a long-term project)
- SiC UF & MBR for robust operation and sustained higher flux
- Test protocol and onsite lab (combo of online analyzer and manual samples)
- Specifically developed bio strains started 6 months before the pilot arrived (no pilot operational delay for bio strain development)
- System Engineering Approach (functional, tops-down requirements development)

Conclusions

- End-of-pipe reclaim is feasible and offers the greatest opportunity for water recovery
- Proved wastewater (as is) can be turned into city water or better with a water conversion efficiency between 70-85%
- Validated a robust measurement and diversion scheme and stress tested the system to ensure reliability and resilience
- Proved reuse water could be produced at 30-45% the cost of city water at today's rates



ovivowater.com